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CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

| Application Number | 09/824,175 | | | |
|------------------------|----------------------|--|--|--|
| Filing Date | March 30, 2001 | | | |
| First Named Inventor | Scott Shyh Guang YEN | | | |
| Group Art Unit | 3623 | | | |
| Examiner Name | Michael C. Heck | | | |
| Attorney Docket Number | 002854-23 | | | |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

| 1. | 1. Submission required under 37 C.F.R. § 1.114 | | | | | | | | | | | |
|--|--|---------|--------------------|--|--|--|--|--|--|--|--|--|
| | a. | | Prev | viously submitted | | | | | | | | |
| | | i. | | Consider the amendment(s)/reply under 37 C.F.R (Any unentered amendment(s) referred to above will be | | 116 previously filed ond). | | | | | | |
| | | ii. | | Consider the arguments in the Appeal Brief or Re | eply Bri | Brief previously filed on | | | | | | |
| | | iii. | | Other | | | | | | | | |
| | b. | × | Enc | losed | | | | | | | | |
| | | i. | × | Preliminary Amendment/Reply iv. | | Submission of Formal Drawings | | | | | | |
| | | ii. | | Affidavit(s)/Declaration(s) v. | × | Petition for ONE-month Extension of Time | | | | | | |
| | | iii. | | Information Disclosure Statement (IDS) | | | | | | | | |
| 2. | N | /liscel | aneous | | | | | | | | | |
| | a. Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required) | | | | | | | | | | | |
| | b. | | Oth | er | | | | | | | | |
| 3. | F | ees | Th | e RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F. | .R. § 1.1 | 1.114 when the RCE is filed. | | | | | | |
| | a. | × | | Director is hereby authorized to charge the following fees, \$440.00, additional fees which may be required, or credit | | | | | | | | |
| | | | | • • | overpayments, to Deposit Account No. 19-2380. A duplicate copy of this document is enclosed. | | | | | | | |
| | | i. | | RCE fee required under 37 C.F.R. § 1.17(e) | | | | | | | | |
| | | ii. | | Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) | | | | | | | | |
| | | iii. | | | ther | | | | | | | |
| | b. | = | | Check in the amount of \$enclosed | | | | | | | | |
| <u> </u> | c. Payment by credit card (Form PTO-2038 enclosed) | | | | | | | | | | | |
| | | | | SIGNATURE OF APPLICANT, AT | CORNI | NEY, OR AGENT REQUIRED | | | | | | |
| Name (Print/Type) Brian C. Oakes | | | De) Brian C. Oakes | Registration No. (Attorney/Agent) 41,467 | | | | | | | | |
| Signature Point C. Caker | | | Pom C. Caker | Date August 20, 2004 | | | | | | | | |
| CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)] | | | | | | | | | | | | |
| <u> </u> | | | | | | | | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, or being facsimile transmitted to the USPTO at, on | | | | | | | | | | | | |
| Signature: | | | | | | | | | | | | |
| Name: | | | | | | | | | | | | |

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SEND TO: Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450



PHE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re F | Patent Application of: |) | |
|---------|---------------------------|---|---------------------------|
| Scott | Shyh Guang YEN |) | Group Art Unit: 3623 |
| Appli | cation No. 09/824,175 |) | Confirmation No.: 8273 |
| Filed: | March 30, 2001 |) | Examiner: Michael C. Heck |
| For: | METHOD FOR INCORPORATING |) | |
| | HUMAN-BASED ACTIVITIES IN |) | August 20, 2004 |
| | BUSINESS PROCESS MODELS |) | |

PRELIMINARY AMENDMENT

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated April 20, 2004 and Advisory Action dated August 11, 2004, reconsideration and allowance of the application are respectfully requested in light of the following amendments and remarks. With respect to the comments made in the Advisory Action regarding minor inconsistencies in the After Final Amendment dated July 7, 2004, the same Amendment is provided herewith with corrections.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 5 of this paper.